Multi-objective job-shop scheduling with lot-splitting production

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ABSTRACT

While focusing on lot splitting in the job-shop scheduling problem, this study attempts to minimize the optimal lot splitting, developed a heuristic. Potts and Van Wassenhove (1992) proposed an objective related to time to investigate batch scheduling. These two theorists separated batching and lot sizing. Batching determines whether similar jobs are scheduled together, whereas lot sizing determines when to split lots. Trietsch and Baker (1993) generated a three-machine process to identify the best lots for each process to minimize the makespan. Lee et al. (2008) proposed a batch-based overlap-scheduling procedure using a genetic algorithm (GA) heuristic involving reworking and a time buffer for branched-line operations to enhance system performance at a branched-process TFT-LCD panel plant. Chan et al. (2004) utilized GAs to solve equal-size lot streaming (ESLS) in job-shop scheduling problems. Their research results showed that the solution minimizes overall penalty cost and total setup time when using a multi-objective function. Chan et al. (2009) proposed genetic algorithm called LSGA vs to determine lot streaming (LS) conditions in a job-shop scheduling problem (JSP). Two sub-problems are solved one is called the LS problem the other is called JSP. Computational results show that the proposed model, LSGA vs, works well with good solutions.

For cost patterns, Graves and Kostreva (1986), who utilized fixed demand, the same production speed and number of lots, considered transportation cost, setup cost and inventory cost. They developed a cost function to determine lots and minimize cost. Moily (1986) demonstrated that early process productivity is greater than that of a late process, and used lot splitting to reduce cost. Karimi (1992) examined the multi-stage sequence system and adopted minimizing inventory cost and setup cost as aims. Karimi developed a branch-and-bound algorithm to solve lot splitting and merger problems, and utilized simulations to verify

1. Introduction

Optimized production technology (OPT) was proposed by Goldratt (1980). Lundrigan (1986) believed that OPT maximized the advantages of material requirement planning (MRP) and just-in-time (JIT) in eliminating production waste, reducing work in process, and increasing productivity. Lot splitting is one of the best OPTs. Lot splitting can generate the overlap between operations, reduce operational time, and reduce cost. In OPT, lots are divided into three types—release batches, process batches and transfer batches. The release batch is the number of orders; process batch is the production number that a job is continuously processed and not interrupted on a machine; transfer batch is the batch size of a job moved from one machine to another. The definition of batch in this study is transfer batch.

Appropriate lot streaming in a production system is a key to reducing lead time and work in process. Chang and Chiu (2005) propose a uniquely categorized structure to characterize the existing lot streaming problems to help the reader understand the previous research on lot streaming and concluding with some constructive suggestions for future research directions. Studies dealing with lot splitting, based on their objectives, can be divided into those focused on time patterns and those focused on cost patterns.

For time patterns, lot splitting can accelerate production, thereby reducing completion time. Baker and Pyke (1990) applied network analysis to minimize total production time and, based on
algorithm effectiveness. Low et al. (2004) determined the benefit of each lot-splitting situation, including same batch size and different batch size, in a job-shop environment. They then constructed a mathematical programming model to determine the optimal solution and compared the efficiency of their statistical analysis method with same batch size and different batch size. The objective in this study is to minimize the sum material processing cost, setup time cost and inventory cost.

In discussing lot splitting, one should consider additional costs due to lot splitting, such as setup cost when each batch passes a machine. This study considers the carrying cost of every batch. When a batch is carried from one machine to another, this is regarded as one carry. As the number of batches increases, carrying time increases. In actual production, a plant may change carrying containers in a timely manner due to the increased number of batches, the smaller size of each batch, or may use the same carrying containers regardless of batch size, such when using the same pallet or carrying car. This study is based on the use of a carrying car. We assume each carry has fixed costs, regardless of batch size.

This study uses ant colony optimization (ACO), and generates a heuristic algorithm to rapidly solve the lot-splitting problem in the job-shop scheduling problem. Dorigo (1992) first developed ACO. In the ACO algorithm, artificial ants are created by a program that simulates the natural behavior of ants. These artificial ants solve problems based on three principal characteristics: (1) ants prefer routes with high amounts of pheromone; (2) pheromone accumulates faster on short routes than on long routes; and (3) ants can communicate indirectly via the pheromone. Furthermore, artificial ants can identify superior routes (exploitation) and unsearched combinations of routes (exploration) to acquire improved solutions. Application of the ant system includes a traveling salesman, quadratic assignment, flowshop scheduling, and job-shop scheduling (Colomni et al., 1994; Gajpal and Rajendran, 2006; Lin et al., 2008; Huang and Yang, 2008).

While measuring scheduling performance principles, this study considers multiple objectives in scheduling performance such as “work-in-process inventory cost,” which is flow time multiplied by a given cost weight, “machine idle cost,” which is machine idle time (MIT) multiplied by a cost weight, and transport cost, which is derived by the number of batches. When considering lot-splitting production, it can decrease the total completion time, flow time and machine idle time, and then reduce the production cost. But lot-splitting production will increase the carrying cost because of the number of batches increased. Hence, this study combines the total cost of work-in-process inventory cost, machine idle cost, and carrying cost as the measurement for order scheduling in this study.

2. Construction of the scheduling model

2.1. Notation definition

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>n</td>
<td>number of jobs for processing at time zero</td>
</tr>
<tr>
<td>m</td>
<td>number of machines</td>
</tr>
<tr>
<td>j</td>
<td>job number l</td>
</tr>
<tr>
<td>Oij</td>
<td>the jth operation of Ji</td>
</tr>
<tr>
<td>Mk</td>
<td>machine number k</td>
</tr>
<tr>
<td>y</td>
<td>number of batches—a suffix</td>
</tr>
<tr>
<td>b</td>
<td>total number of batches</td>
</tr>
<tr>
<td>Uij</td>
<td>number of operations Ji requires</td>
</tr>
<tr>
<td>Pijk</td>
<td>processing time of Oij on Mk</td>
</tr>
<tr>
<td>Pijyk</td>
<td>processing time each batch requires Pijyk=Pijk/b</td>
</tr>
<tr>
<td>jyk</td>
<td>Oij processed on Mk</td>
</tr>
<tr>
<td>Sijyk</td>
<td>setup time for Oij on Mk</td>
</tr>
</tbody>
</table>

STijyk start time for the yth batch of Oij on Mk
STijk start time for Oij on Mk
FTijyk completion time for the yth batch of Oij on Mk
FTijk completion time for Oij on Mk
FTi completion time for Ji
Cmax the time of the last operation leaves a machine in the production system
L a very large positive number
C1 cost coefficient of job flow time
C2 cost coefficient of machine idle time
C3 cost coefficient of each transport batch
Fijk flow time of Oij on Mk
Mk idle time of Mk

Xijk = \begin{cases} 
1 & Oij is processed on Mk \\
0 & \text{otherwise} 
\end{cases}

Yijk = \begin{cases} 
1 & \text{the first batch of Oij is processed on Mk} \\
0 & \text{otherwise} 
\end{cases}

Zijk = \begin{cases} 
1 & \text{the Oij on Mk is prior to Oop} \\
0 & \text{otherwise} 
\end{cases}

2.2. Mathematical model

Objective formulation:

\[
\min Z = C_1 \sum_{i=1}^{n} \sum_{j=1}^{m} \sum_{k=1}^{n} F_{ijk} + C_2 \sum_{k=1}^{m} M_k + C_3 \sum_{i=1}^{n} U_i \tag{1}
\]

Subject to:

\[
M_k = C_{\max} \sum_{j=1}^{m} \sum_{i=1}^{n} X_{ijk} \times (P_{ijk} + S_{ijk}) \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i \tag{2}
\]

\[
FT_{ijk} = ST_{ijk} + P_{ijk} + X_{ijk} \times S_{ijk} \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i; \quad y = 1, 2, \ldots, b \tag{3}
\]

\[
FT_i \geq FT_{ijk} \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i \tag{4}
\]

\[
FT_{ijk} \geq FT_{ijyk} \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i; \quad y = 1, 2, \ldots, b \tag{5}
\]

\[
FT_{ijk} - L \times (1 - X_{ijk}) \leq ST_{ijyk} \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i; \quad y = 1, 2, \ldots, b \tag{6}
\]

\[
FT_{ijyk} \times (1 - X_{ijyk}) - L \times X_{ijyk} \geq ST_{ijyk} \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i \tag{7}
\]

\[
ST_{ijyk} \geq FT_{ijyk+1} \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i; \quad y = 1, 2, \ldots, b \tag{8}
\]

\[
ST_{ijyk+1} \geq FT_{ijyk} \quad \forall k = 1, 2, \ldots, m; \quad i = 1, 2, \ldots, n; \quad j = 1, 2, \ldots, U_i; \quad y = 1, 2, \ldots, b \tag{9}
\]

The mathematical formulation is as follows: Eq. (1) is the objective formulation—the primary purpose is to minimize total cost of the three performance principles, which are job flow time \( \sum_{i=1}^{n} \sum_{j=1}^{m} \sum_{k=1}^{n} P_{ijk} \), machine idle time \( \sum_{k=1}^{m} M_k \) and total carrying time \( b \times \sum_{i=1}^{n} U_i \). Each objective must be multiplied by
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