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1 2	Featured Article			
3 4 5	The stability of neuropsychiatric subsyndromes in Alzheimer's disease			
6 7 Q6 9 10 Q1 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31	Michael H. Connors ^{a,b} , Katrin M. Seeher ^{a,b} , John Crawford ^b , David Ames ^{c,d} , Michael Woodward ^e , Henry Brodaty ^{a,b,*} ^a Dementia Centre for Research Collaboration, UNSW Sydney, Sydney, Australia ^b Centre for Healthy Brain Ageing, UNSW Sydney, Sydney, Australia ^c National Ageing Research Institute, Melbourne, Australia ^d Academic Unit for Psychiatry of Old Age, University of Melbourne, Melbourne, Australia ^e Austin Hospital, Heidelberg, Australia			
	Abstract	 Introduction: Neuropsychiatric symptoms are common in Alzheimer's disease. Previous research has attempted to identify subsyndromes—sets of symptoms related to one another—to clarify underlying mechanisms and treatment targets. We examined the stability of these subsyndromes over time. Methods: We administered the Neuropsychiatric Inventory annually for 3 years to 447 patients with Alzheimer's disease recruited from memory clinics. We conducted principal component analyses at each time point and multigroup confirmatory factor analyses across time. Results: Principal component analyses showed that no two time points shared the same factor structure. Factor solutions did not exhibit strong simple structures, and substantial cross-loadings were common. Confirmatory analysis revealed significant differences in factor loadings and model fit over time. Discussion: Symptoms cannot be neatly partitioned into discrete clusters that are stable over time. The findings highlight the significant challenges that clinicians and caregivers face and may help explain the lack of success in intervention studies. © 2018 Published by Elsevier Inc. on behalf of the Alzheimer's Association. 		
32 33	<i>Keywords:</i> Alzheimer's disease; Behavioral and psychological symptoms of dementia; Dementia; Factor analysis; Longitu- dinal; Neuropsychiatric symptoms; Neuropsychiatric subsyndromes; Neuropsychiatric Inventory			ongitu-
35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54	1. Introduction Most patients neuropsychiatric their illness [1]. The study was fr Cilag had no input i writing of this study for patients with mile sored by major pharm Eli Lilly and Compar Medivation Inc., Mer cia, Pfizer Inc., Pran peutics, Voyager Pha has also worked on th Forest, Takeda, and w https://doi.org/10.107	with Alzheimer's disease (AD) experience symptoms (NPSs) during the course of These symptoms include depression, anxi- unded in part by Janssen-Cilag Pty Limited. Janssen- into the design, execution, analysis, interpretation, or . K.M.S., M.W., and H.B. have worked on drug trials d cognitive impairment and Alzheimer's disease spon- naceutical companies including Eisai Pharmaceuticals, ny, GlaxoSmithKline, H Lundbeck A/S, Janssen-Cilag, ck Sharp and Dohme, Novartis Pharmaceuticals, Nutri- a Biotechnology, Sanofi-Aventis, Servier, Tau Thera- armaceutical Corporation, and Wyeth Limited. M.W. rials sponsored by AbbVie, Biogen, the Buck Institute, /Tv Therapeutics. H.B. has been a consultant, advisory 16/j.jalz.2018.02.006 Published by Elsevier Inc. on behalf of the Alzheimer's As	ety, apathy, agitation, disinhibition, a lusions, and hallucinations. Approxi patients exhibit at least one NPS [1, symptoms, with around half experie board member, or sponsored speaker for Nutric and Sanofi. M.W. has been a consultant and sp well as bioCSL, CogRx, Eli Lilly and Compa Novartis, and Prana Biotechnology. DA has s Janssen-Cilag and received payment for con Lilly, Lundbeck, Prana, Pfizer, and Novartis. M flicts of interest to declare. *Corresponding author. Tel.: (+61 2) 9385 2200. E-mail address: h.brodaty@unsw.edu.au sociation.	motor disturbances, de- mately 80% to 90% of 2]. Most have multiple ncing four or more [3]. tia, Tau Therapeutics, Servier, seaker for these companies as ny, Merck Sharp and Dohme, erved as a paid consultant to nsultancies from Baxter, Eli A.H.C., and J.C. have no con- 9385 2585; Fax: (+61 2)

110 As a result, research has focused on identifying subsyn-111 dromes-sets of symptoms that are related to one 112 another-to help clarify underlying causal mechanisms 113 [1,4-6]. If such NPS groupings were consistent and 114 pointed to shared underpinnings, they could also suggest 115 common therapeutic interventions [7-10]. As such, the 116 study of neuropsychiatric subsyndromes has been classed 117 as an area of importance by the Alzheimer's Association 118 [6,8,11], European Alzheimer's Disease Consortium [10], 119 and US Food and Drug Administration [12]. 120

Previous research has identified subsyndromes by admin-121 122 istering surveys of NPSs-usually the Neuropsychiatric In-123 ventory (NPI)-and conducting factor analyses on the 124 responses. This approach typically reveals three or four 125 main groups of symptoms [1,9,13]. An early study [14] iden-126 tified three factors: hyperactivity (agitation, euphoria, irrita-127 bility, disinhibition, aberrant motor behavior), mood/apathy 128 (depression, apathy, night time disturbances, appetite/eating 129 abnormalities), and psychosis (delusions, hallucinations, 130 anxiety). In another study [15], the same authors identified 131 four factors with a slightly different structure. Similar, 132 133 though not identical, factors were apparent in patients with 134 different levels of dementia severity, suggesting that subsyn-135 dromes may be stable over time. Inspection of the different 136 factor solutions across other studies also reveals common 137 patterns, with certain symptoms tending to have major load-138 ings on the same factor more frequently than others [9]. 139 Nevertheless, there is noticeable variation in the number 140 and composition of factors identified across more than 25 141 studies. A systematic review of neuropsychiatric subsyn-142 dromes in AD found that no two previous studies reported 143 exactly the same factor composition [13]. 144

A significant limitation of previous research is that it has 145 146 only rarely examined the stability of subsyndromes over 147 time in the same individuals [13]. Most studies performed 148 cross-sectional factor analyses. This is problematic because 149 the prevalence and trajectory of individual symptoms that 150 constitute the factors vary across the disease's course 151 [1,3,16]. Five studies [17–21] attempted to address this 152 issue by repeating factor analyses at different time points 153 within a longitudinal design. These studies concluded that 154 factor structures remained relatively stable, although some 155 symptoms changed the factors on to which they loaded. 156 157 Only one of these studies, however, used confirmatory 158 factor analysis [17], and none statistically tested the struc-159 tural equivalence of their factor solutions over time. In addi-160 tion, these studies did not control for dementia severity, 161 identified different compositions of factors to each other, 162 and observed different symptoms to change the factor on 163 which they mainly loaded over time. It is thus unclear 164 whether the identified factors are truly stable. Given the 165 inconsistent findings in both cross-sectional and longitudinal 166 studies, it is also unclear whether it is appropriate to describe 167 the relationships between NPSs in terms of distinct nonover-168 169 lapping subsyndromes; that is, groups of symptoms with 170

relatively high intercorrelations and lower correlations with symptoms belonging to different subsyndromes.

We examined the nature and stability of relationships between neuropsychiatric subsyndromes over 3 years in a large sample of patients with AD. We focused on the 10-items in the original NPI scale (delusions, hallucinations, agitation, depression, anxiety, euphoria, apathy, disinhibition, irritability, aberrant motor behavior) as a systematic review found that this scale was more likely to produce stable subsyndromes than the 12-item version (which also assesses appetite and sleep disturbances) [13]. We conducted exploratory factor analyses at each time point and a multiple-group confirmatory factor analysis across time to test the stability of factor structures. Given the inconsistency in previous exploratory factor analyses [13], we tested the factor structure of an existing model [17] in the confirmatory analysis. This model is the only one to have been previously tested with confirmatory analysis over time, albeit without testing for structural invariance. In addition, the model's proposed subsyndromes-psychotic (delusions, hallucinations), emotional (agitation, irritability, depression, anxiety), and behavioral (disinhibition, euphoria, aberrant motor behavior, apathy)-are similar to other accounts and closely related to existing theoretical accounts [1,9]. We hypothesized that, if neuropsychiatric subsyndromes are stable entities, consistent groupings of NPSs would emerge in both exploratory and confirmatory analyses.

2. Methods

2.1. Design

Participants were drawn from the PRIME study [22], a 3-year observational study of patients attending memory clinics. Of 970 patients recruited, 781 had dementia (521 with the Alzheimer's type) and 189 had mild cognitive impairment. All patients received specialist assessment or treatment at one of nine memory clinics around Australia. Patients, together with a family member or friend as their informant, had annual follow-ups with a research nurse/psychologist or specialist clinician, with additional visits at 3 and 6 months (analyses in this study focused on annual visits). Ethics approvals were obtained from institutional ethics committees associated with individual referring centers (National Institute of Health clinical trials registry number: NCT00297271).

2.2. Participants

The present analyses focused on patients with AD. All had received a diagnosis from a specialist clinician according to DSM-IV criteria [23]. Patients were included in the Q2 PRIME study if they lived in the community, had less than 40 hours/week care, were fluent in English, had a caregiver consent to the study, and provided written informed consent either themselves or through a legal guardian/proxy. Patients with acute or life-threatening illness were excluded. 171 172

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