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⁰¹ Programmable carbon nanotube membrane-based transdermal nicotine ² delivery with microdialysis validation assay

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7 Abstract

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 To evaluate the performance of switchable carbon nanotubes (CNT) membrane devices for transdermal nicotine delivery, we have 9 developed an *in-vitro* microdialysis method that allow us to detect variable transdermal fluxes of nicotine through CNT devices and can be 10 applied directly to in-vivo studies. Microdialysis membranes were placed beneath the porcine skin and its nicotine levels increased 6-8 times when the CNT membrane on skin was turned from OFF to ON state by application of bias. Fluxes in the ON state were approximately 3 times that of commercial nicotine patches and switching times were less than two hours, thus suggesting the improved therapeutic potential of our device. Blue tooth enabled CNT devices that can be programmed by smartphone and coupled with remote counseling application for enhanced smoking cessation treatments.

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16 Key words: Microdialysis; CNT membrane; Nicotine; Smoking cessation; Remote counseling

Fourier Control of Control of Equation (Fig. 17a0 Chen ^b, Bruce Jackson Hinds, PhD^a, *Materials Science and Engineering University of Pashingen, <i>SE*, USA ^bChomical and University Of Pashingen, *CF*, USA **Received 2** Addiction treatment is a particularly difficult challenge due to the combination of complex brain physiology and psychiatric behavioral cues involved. To address brain physiology in smoking cessation treatment, nicotine replacement therapy (NRT) uses transdermal patches for steady background levels for reducing withdrawal symptoms and relapse, and nicotine gum for dosing spikes for craving events has had limited success 25 rate of $18-24\%$ after 1year^{1,2} and an overall 90% long term failure rate[.](#page--1-0)[3](#page--1-0) The major disadvantages of currently available transdermal patches include non-variable nicotine delivery rate 28 to respond relapse cues, 4 and underdosing for heavy smokers.^{5–7} Attempts to increase patch loading result in nicotine toxicity especially in heavy smokers with psychiatric comorbidity in 31 response to continued smoking.⁶ Nicotine gum to deliver nicotine dose spikes is generally self-dosed and perpetuates nicotine addiction.

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Psychological counseling along with NRT is the most 34 effective (~40% success rate without 2-year relapse) but most 35 costly in terms of professional staffing and patient time away 36 from work. 8.9 Less expensive remote counseling based on 37 phone/Internet can be used in conjunction with NRT with 38 somewhat encouraging results (~14.9% phone and 18–23% 39 Internet counseling success rates at 6 months)[.](#page--1-0) $9-12$ $9-12$ 40

A significant improvement to smoking cessation therapy, 41 would be to remotely program nicotine dosing levels and 42 nicotine spikes with input from counselor and patient through a 43 smart phone platform along with a smart phone application 44 (App) for remote counseling. Although iontophoresis transder- 45 mal drug delivery has the capability to provide variable and 46 programmable delivery rates, it requires strong current across the 47 skin, which causes skin irritation, 13 need bulky external power 48 source¹⁴ and polarization within the skin reduces the current 49 across skin over time affecting dosing.^{15,16} A new technology 50 based on switchable carbon nanotubes (CNT) membrane can 51 provide variable nicotine dosing (in therapeutic range) by using a 52 small bias of compact watch battery. Due to dramatic nanofluidic 53 propertie[s](#page--1-0) 17 and highly efficient electroosmotic pumping[,](#page--1-0) 18 54 watch battery devices can readily have operational pumping time 55 of up to 10 days[.](#page--1-0) 19 19 19 Unlike iontophoresis, applied bias to the CNT 56 membrane is contained within the device and controls the drug 57

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 transport rate to the skin surface at programmed dosing level. A smart phone counseling program coupled to a bluetooth controlled voltage source on the CNT membrane device can release nicotine at variable rates giving a promising approach for smoking cessation treatment [\(Figure 1](#page--1-0)).

 Previously, we have demonstrated that it is possible to obtain programmable transdermal drug delivery of nicotine at thera- peutic levels through in-vitro human skin and in-vivo with 66 hairless guinea pigs by using CNT membrane based device[.](#page--1-0)^{[19,20](#page--1-0)} The previous in-vivo study did not show the true potential of this 68 device as the ON/OFF nicotine flux ratio $(\sim 2 \text{ times})$ did not meet the desired nicotine target (3.6 times) corresponding to large and small patch sizes used at beginning and end of NRT program. This in-vivo study had minimal temporal resolution of cotinine measurements due to limitations of blood sampling intervals required for animal's well-being.²⁰ Also nicotine levels were not directly measured due to the rapid conversion of nicotine to cotinine making it difficult to analyze multiple ON/OFF cycles since cotinine clearance kinetics in hairless guinea pigs are not accurately known. For switchable transdermal devices in-vitro experimental designs that accurately quantify multiple ON/OFF cycles and directly measure nicotine delivery across the epidermis are needed.

traget (3.6 times) corresponding to large and (R.EC.E.). A constant potential of -0.6° V was
ed at hegiming and of NRT pregnam. e-conder 410 (R-day potentials, Coloradis Spree and terminal temporal esolution of colimi Microdialysis membrane probe implanted in dermis can collect drug diffusing from the patch into dermis of skin in a continuous manner without blood sampling. This is a well-established technique and has been used in dermal pharmacokinetic studies of many drugs in human and 86 animals[.](#page--1-0)^{[21](#page--1-0)-23} Various studies have successfully quantified transdermal patch nicotine delivery using microdialysis mem88 brane in animal[s](#page--1-0)^{[24](#page--1-0)} and human volunteers.^{22,25} Critically this technique can provide direct measurement of nicotine skin permeation with high temporal resolution and overcome the uncertainty associated with metabolite clearance kinetics. 92 Demonstrated here is an efficient *in-vitro* microdialysis tech- nique to measure variable therapeutic transdermal fluxes from a 94 switchable device that can be directly applied to *in-vivo* studies. CNT membranes are also shown to have improved ON/OFF ratios and can exceed fluxes given by commercial nicotine (Nicoderm) patches.

98 Methods

99 Fabrication and characterization of double-walled carbon 100 nanotubes (DWCNT) membranes

 DWCNT with average inner diameter of 1.3-2 nm and length of 50 μm were purchased from Sigma-Aldrich Corporation (St. Louis, MO, USA). A JEOL 2010F Transmission Electron Microscope (TEM) was used to see the diameter of as-purchased DWCNT. DWCNT membranes were fabricated as reported previously[.](#page--1-0)[19,26](#page--1-0) Briefly after a sonication/dispersion step, 2.5 wt% DWCNTs were mixed into Epon 862 epoxy resin (Miller-Stephenson Chemical Co., IL, USA), hardener methyl hexahydrophthalic anhydride (MHHPA, Broadview Tech. Inc., NJ, USA) and 0.1 g surfactant Triton-X 100 (Sigma, St. Louis, MO, USA) using a Thinky™ (Tokyo, Japan) centrifugal shear 112 mixer. As-prepared CNT–epoxy composite was cured at 85 °C

before being cut into CNT membranes (5 μm thick) using a 113 microtome equipped with a glass blade. These as-made 114 membranes were characterized for thickness by S-4300 115 HITACHI Scanning Electron Microscope (SEM). The mem- 116 branes (\sim 0.6 \times 0.6 cm²) were glued over 3 mm diameter hole in 117 polycarbonate plate (1 mm thick) covered with nylon mesh 118 $(0.8 \times 0.8 \text{ cm}^2)$, which act as a mechanical support. Membrane 119 area was 0.07 cm^2 . The membranes were screened for ionic 120 current separating two sides of a U-tube cell each with 0.1 M KCl 121 and Ag/AgCl electrode (In Vitro Metric, E215P, Healdsburg, 122 CA, USA) as working and connected reference/counter electrode 123 (R.E/C.E.). A constant potential of -0.6 V was provided by 124 e-corder 410 (E-daq potentiostat, Colorado Springs CO, USA) 125 and current was monitored. Only CNT membranes with 126 screening current between -6 and -8 µA (-0.6 V) were used 127 in further studies. Each side of successfully screened membrane 128 was treated using water plasma oxidation for 1 min to add 129 carboxylate functionality for chemical modification. 130

Porosity of DWCNT membranes was determined from the 131 steady state $Ru(bi-Pvr)_{3}Cl_{2}$ (5 mM feed) flux through the plasma 132 oxidized membrane from using Ficks law of diffusion[.](#page--1-0)^{27} The 133 permeate was measured after 24 h of diffusion experiments using 134 a UV–Vis spectrophotometer (BioTek Synergy H1 Hybrid 135 reader, BioTek Instruments, Inc., Vermont, USA) and calculated 136 at 286 nm.

To functionalize as-prepared DWCNT membranes they were 138 first flow grafted with benzoic acid using 4-carboxy phenyl 139 diazonium tetrafluoroborate synthesized in our laboratory using 140 the literature reported method, 28 which was then coupled to 141 Direct Blue dye 71 using carbodiimide chemistry as reported 142 previously.²⁹ A 30 nm and 5 nm Au/Pd films were sputtered 143 deposited on the edge of the CNT membrane area and on the 144 CNT membrane, respectively to give electrical contact for 145 applying biases and to act as effective working electrode. For 146 ionic rectification measurements the Au/Pd coated DWCNT 147 membranes were used as a working electrode and was placed in 148 U-tube filled with 10 mM potassium ferricyanide on donor side 149 and deionized water on permeate side.³⁰ The reference/counter 150 electrode (R.E/C.E.) was Ag/AgCl electrode. Linear scan was 151 ranged from -0.60 to $+0.60$ V at the scan rate of 50 mV/s. 152

In-vitro determination of nicotine fluxes by microdialysis 153 membrane probe 154

Chemicals and assay 155

(−)-Nicotine was purchased from Sigma (St. Louis, MO, 156 USA). Nicotine patches (Nicoderm CQ, 21 mg/24 h) were 157 purchased from local retailor. HPLC grade acetonitrile and 158 methanol were obtained from Fisher Scientific (Fairlawn, NJ, 159 USA). All standards and solutions were prepared using purified 160 water obtained from Barnstead Nanopure System. All other 161 chemicals were reagent grade or better and used as received. 162

The concentration of nicotine in dialysate and flow cell 163 samples was determined using Shimadzu high performance 164 liquid chromatography (HPLC)[.](#page--1-0)^{[31](#page--1-0)} In brief, HPLC was per- 165 formed at flow rate of 1.5 ml/min using a C_{18} column and a 166 detection wavelength of 254 nm. The mobile phase was 167 water:methanol:acetate buffer (0.1 M, pH 4):acetonitrile 168

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