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Q4 Allowance and allocation of industrial volatile organic 2 compounds emission in China for year 2020 and 2030

Q6 Q5 Jiani Zhang¹, Jingfang Xiao¹, Xiaofang Chen¹, Xiaoming Liang¹,
 4 Liya Fan^{1,2,3}, Daiqi Ye^{1,2,3,*}

5 1. School of Environment and Energy, South China University of Technology, Guangzhou 510006, China

6 2. Guangdong Provincial Key Laboratory of Atmospheric Environment and Pollution Control, Guangzhou 510006, China

7 3. National Engineering Laboratory for VOCs Pollution Control Technology and Equipment, South China University of Technology,
 8 Guangzhou 510006, China

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A B S T R A C T

As an effective pollution control method, emission allowance and allocation just implemented 18
 in volatile organic compounds (VOCs) control strategy of China in 2016. This article presents a 19
 possible way to set the emission allowance targets and establishes an allowance allocation 20
 model for the object year, 2020 and 2030, using 2010 as the reference year. On the basis of 21
 regression and scenario analysis method, the emission allowance targets were designed, 22
 which were 17.902 Tg and 18.224 Tg for 2020 and 2030, with an increasing rate of 28.75% and 23
 31.06% compared to 2010. From the perspective of industries, processes using VOC-containing 24
 products, like architectural decoration and machinery and equipment manufacturing, would 25
 continue to be the most significant industrial VOC emission sources in the future of China. 26
 Four allocation indicators were selected, which are per capita GDP of each province, per capita 27
 industrial VOC emission of each province, the economic contribution of industrial sector to 28
 regional economy of each province, and the emission intensity per land area of each province, 29
 respectively. Based on information entropy, the weights of the indicators were calculated and 30
 an emission allocation model was established, and the results showed that provinces like 31
 Shandong, Jiangsu, Guangdong, Zhejiang, Fujian, Liaoning, Henan and Hebei were calculated 32
 to obtain more emission allowance while burden more reduction responsibility. Meanwhile, 33
 provinces like Guangxi, Gansu, Yunnan, Beijing, Guizhou, Ningxia, Hainan, Qinghai and Xizang 34
 were on the contrary. This paper suggests governments to enhance or ease to industrial VOC 35
 reduction burden of each province in order to stimulate its economy or change its way of 36
 economy development. 37

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52 Introduction

53 The rapid growth of China's economy has not only improved
 54 people's living standards but at the same time resulted in serious
 55 environment deterioration. In recent years, the organic aerosol

concentrations and ground-level ozone concentrations are 56
 observed to be obviously elevated in key regions of China (Shao 57
 et al., 2009; Wang et al., 2014; Zheng et al., 2010; Pusheng et al., Q8
 2013; Sillman, 1999; Sun et al., 2013). Volatile organic compounds 59
 (VOCs) are identified to be one of the most important precursors 60

* Corresponding author. E-mail: cedqye@scut.edu.cn (Daiqi Ye).

61 for organic aerosols and photochemical oxidants (Atkinson,
 Q9 2000; Bowman et al., 1995; Shao et al., 2009; Zhao et al., 2013).
 63 Meanwhile, researchers have proved that industrial activity is
 64 a significant source of VOCs in urban environment (Wei et al.,
 Q11Q10 2008; Wei, 2009; Qiu et al., 2014). Therefore, how to reduce the
 66 industrial VOC emission becomes a crucial challenge facing
 67 Chinese government.

68 From the perspective of Chinese VOC control and reduction
 69 planning, legislation, policy instruments and measures, only
 70 emission concentration is taken into account at first. As a
 71 pollution control policy that can reduce pollution effectively,
 72 emission allowance and allocation just implemented in VOC
 73 control strategy in China in 2016 (Liu and Xie, 2007; Wang et al.,
 Q13Q12 2010). As for national emission allowance, it is hard to set the
 75 targets based on environment capacity due to the vast territory
 76 and unbalance economic development situation of China.
 77 However, there are VOC control and reduction planning,
 78 legislation, policy instruments and measures which are about
 79 to implement in the future of China. Therefore, emission
 80 allowance can be set through emission prediction. Studies
 81 were carried out to project the VOC emission allowance for the
 82 year of 2015–2020 (Wei et al., 2011; Klimont et al., 2002; Ohara
 83 et al., 2007). But few study focused specially on the industrial
 84 VOC emission reduction. Moreover, these predictions were only
 85 updated to 2020. To meet the Chinese willing to improve the
 86 environmental air quality, a long term emission allowance
 87 planning needs to be put forward. Meanwhile, extensive studies
 88 on emission allocation have been carried out. Most of the
 89 studies are focused on the discussion of allocation method. Yi
 90 et al. (2011) developed a comprehensive index and constructed
 91 an intensity allocation method to allocate the carbon dioxide
 92 reduction target regionally, taking economic difference and
 93 reduction potential into consideration. Pan et al. (2013) assessed
 94 the effect of various initial emission allowance allocation
 95 methods of the Korean electricity market. Lin et al. (2011)
 96 compared four different allocation methods for sulfur dioxide
 97 allowance, based on an investigation of 14 power plants in
 98 Fujian province of China. Levihn (2014) provided guidelines for
 99 whether, how, and when different allocation methods should
 100 be used. Meanwhile, attentions were paid to the cost evaluation
 101 for emission allowance allocation (Fujiwara et al., 1986; Burn
 102 and Mcbean, 1985; Burn and Lence, 1992; Cui et al., 2014; Liu
 Q15Q14 et al., 2012). In this work, an allocation method based on equity,
 104 development, industrial structure adjustment and environ-
 105 mental capacity was established to allocate the industrial VOC
 106 emission allowance in China for the period of 2020–2030, based
 Q16 on the emission inventory in 2010 (Qiu et al., 2014). The final
 108 outcome of this work is a detailed industrial VOC emission
 109 allowance of 31 provinces of China for 2020 and 2030, excluding
 110 Hong Kong, Macao and Taiwan.

112 1. Methodology

113 1.1. Emission allowance determination

114 An emission allowance is the allowed emission of the future.
 Q17 Based on industrial VOC emission inventory of 2010 (Qiu et al.,
 116 2014), 32 industries are included in this work, and the national
 117 emission allowance is the total of the future emissions of

these industries. To calculate the future VOC emission of 118
 the 32 industries, the future activity data and emission factors 119
 of each industry were estimated by the economic model and 120
 literature survey. The emission allowance was calculated 121
 using the following equation: 122

$$E_y = \sum_m \sum_n A_{i,k,y} \times EF_{i,k,2010} \times f_i$$

where, i is the specific source, k is the specific raw material or 123
 product, m is the number of emission sources, n is the number 125
 of raw material or product, and y is the year, E_y is the VOC 126
 emission in the year y , A is the activity data (e.g. consumption 127
 of raw material, industrial production), $EF_{i,k,2010}$ is the basic 128
 emission factor in 2010, and f_i represents the reduction rate of 129
 source i . 130

1.1.1. Prediction of future activity data ($A_{i,k,y}$) 131

The activity data forecast is based on the GDP, population and Q18
 urbanization level projection, of which GDP is the first 133
 priority. After reviewing a large number of long-term eco- 134
 nomic development studies carried out by the authoritative 135
 experts (Amann et al., 2008; Chen, 2011; ERI, 2003; Guo and 136
 Zhao, 2010; Jiang and Hu, 2006; Jiang et al., 2009; Xue et al., 137
 2011; Zhang, 2011), we decided to derive the data from the 138
 results published by Chinese National Development and 139
 Reform Commission Energy Research Institute which were 140
 believed to be more complied with Chinese development 141
 planning. The population growth rate is 5.88% for year 2011– 142
 2020 and 2.08% for year 2021–2030. The GDP growth rate is 143
 8.40% for year 2011–2015, 7.20% for year 2016–2020, 6.60% for 144
 year 2021–2025 and 5.80% for year 2026–2030. The urbanization 145
 level is predicted to be 53.58% for 2020 and 58.89% for 2030. 146
 Moreover, we collected the historical data of GDP, population, 147
 and urbanization level for the period of 1980–2010 by surveying 148
 a large number of literatures, and the relationship between 149
 the consumption of raw material or quantities of products in 150
 various industries and the aforementioned indicators was 151
 obtained by regression analysis, the related indicators and the 152
 multiple linear regression equations of each industry are 153
 summarized in Table S1 in the supplement. The prediction 154
 method and results of each industry are listed as Table 1. The 155
 particulars of the prediction calculation can be found in Table 156
 S2 in the supplement. 157

1.1.2. Determination of emission factors ($EF_{i,k,2010}$) 158

After surveying extensive inventories (Bo et al., 2008; Cao 159
 et al., 2011; Klimont et al., 2002; Liu et al., 2008; Ohara et al., Q19
 2007; Olivier et al., 1999; Piccot et al., 1992; Streets et al., 2003; 161
 Tonooka et al., 2001; Wei et al., 2008), the emission factors 162
 applied by Qiu et al. was considered to be the most accurate 163
 and comprehensive for industries. Therefore, the $EF_{i,k,2010}$ of 164
 this study was derived from it directly. 165

1.1.3. Determination of reduction rate (f_i) 166

China has concurrently suffered from the photochemical 167
 smog and haze pollution, which is mostly occurred in 168
 Beijing-Tianjin-Hebei Region, Pearl River Delta, Yangtze River 169
 Delta and some major city clusters. The air quality of 80% 170
 cities in China could not meet the Phase I requirements of 171
 World Health Organization (WHO), and the air pollutant 172

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