Enhanced recycling network for spent e-bicycle batteries: A case study in Xuzhou, China

Fu Chen a,b, Baodan Yang b, Wangyuan Zhang b, Jing Ma a,b,*, Jie Lv b, Yongjun Yang b

a Low Carbon Energy Institute, China University of Mining and Technology, Xuzhou 221008, PR China
b School of Environment Science and Spatial Informatics, China University of Mining and Technology, Xuzhou 221043, PR China

A B S T R A C T

Electric bicycles (e-bicycles) are a primary means of commuting in China because of their light weight, speed, and low maintenance costs. Owing to short service life and environmental pollution hazards, recycling and reuse of e-bicycle batteries has always been a focus of industry and academia. As a typical case of both production and use of large electric bicycles, 113 major sellers, 378 corporate and individual buyers, 147 large e-bicycle repair centers, and 1317 e-bicycle owners in Xuzhou City were investigated in order to understand the sales, use, recycling, and disposal of spent e-bicycle batteries. The findings show that the existing distempered recycling system is the main limitation of spent battery recovery, and the actual recovery rate of spent batteries is lower than the estimated output (QW) for the years 2011–2014. Electric bicycle sellers play a fundamental role in the collection of spent batteries in Xuzhou, accounting for 42.3 ± 8.3% of all batteries recovered. The widespread use of lithium batteries in recent years has resulted in a reduction in spent battery recycling because of lower battery prices. Furthermore, consumer preferences are another important factor affecting the actual recovery rate according to survey results evaluated using canonical correspondence analysis. In this paper, we suggest that a reverse logistics network system for spent battery recycling should be established in the future; in addition, enhancing producer responsibility, increasing publicity, raising of public awareness, developing green public transport, and reducing dependence on e-bicycles also should be pursued. This study seeks to provide guidance for planning construction and management policies for an effective spent battery recycling system in China and other developing countries.

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1. Introduction

Since 1995, when the first electric bicycle (e-bicycle) was developed, China has experienced 20 years of rapid urban expansion and economic growth. Owing to their convenience, speed, and low maintenance costs, e-bicycles have become a major means of commuting in China, accounting for about 16.5% of the country’s total traffic (CCTA, 2014). In 2014, China produced and sold about 36 million e-bicycles, with an estimated e-bicycle ownership of about 180 million (MIIT, 2015). However, batteries, which are the key component of electric bicycles, typically last less than three years, requiring frequent replacement. Currently, e-bicycle batteries, including lead-acid, nickel-metal hydride, and lithium batteries, are primarily composed of heavy-metal ions such as lead, copper, cobalt, zinc, manganese, nickel, and electrolytes (Zeng et al., 2012; Zeng and Li, 2014; Contestabile et al., 2001; Li et al., 2009, 2010; He et al., 2015). These substances have strong negative effects on soil and water, endanger ecological safety, and present a persistent public nuisance upon entering the environment (Nan et al., 2005; Brar et al., 2010; Sun and Qiu, 2012; Sun et al., 2015; Chen et al., 2016a,b).

Spent batteries are typically cured and then buried, stored in abandoned mines, or recycled in the international community (Duan et al., 2008; Lisbona and Snee, 2011; Zeng et al., 2014). In recent years, techniques have been developed to recover metals from spent batteries with good results (Krekeler et al., 2012; Li et al., 2012; Xue et al., 2014; Chen et al., 2015). However, because many of these recovery methods are only effective for a specific type of battery, it costs recycling enterprises time and money to sort spent batteries (Hu et al., 2016). This sorting requires professional knowledge, further increasing recycling costs. Economic benefits, which are the focus of recycling enterprises, are generally

* Corresponding author at: Low Carbon Energy Institute, China University of Mining and Technology, Xuzhou 221008, PR China.
E-mail address: jingma2013@cumt.edu.cn (J. Ma).

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estimated using the market supply model, the Stanford model, and
the Carnegie Mellon model, and the data obtained from actual tests
have established the amount of recovered metals as a key metric of
recycling economics (Tan et al., 2014). The life-cycle model, system
dynamics model, and genetic algorithm have been introduced in the
hopes of extending battery life and improving the economics of
recycling (Xaré et al., 2009, 2014, 2015a,b; Zhang et al., 2013;
Subulan et al., 2015; Liu et al., 2015; Chen et al., 2016a,b,c). How-
ever, there has been some dispute in previous studies over the
amount of metals extracted from spent batteries and the output
of spent batteries (Zeng and Li, 2014). The sustained low prices
of metal materials in recent years have also stifled recycling enter-
prises’ incentives. Some recyclers and scavengers only collect the
most valuable parts of spent batteries and discard the rest, which
not only results in a waste of resources, but also causes pollution.
The European Union issued a new battery directive in 2004 that
requires all battery products to be recycled and producers and
retailers to assume responsibility for the recycling and disposal
of spent batteries. The directive also stipulated that consumers
deliver spent batteries to recycling stations for management
(ERM, 2006; EEA, 2009). In Japan, an act of the Promotion of Effec-
tive Utilization of Resources requires the relevant producers to
collect and recycle small rechargeable batteries (Terazono et al.,
2015). According to the goal of “zero waste” in the United States,
the states of California and New York are likely to require collec-
tion and recycling of waste batteries in the near future by issuing
statewide disposal bans on rechargeable batteries (Wang et al.,
2014; Hendrickson et al., 2015). However, similar types of such
mandatory measures have not been put into practice in China.
Although the Chinese government has attached great importance
in the recycling of spent e-bicycle batteries, their overall recovery
is lower than 60% due to the poor enforcement of environmental
regulations, enterprises’ consideration of economic benefits, and
low public awareness of environmental dangers (Zeng et al.,
2013). Battery recycling is an essential part of the e-bicycle indus-
try, and is of great significance to sustainable development and
resources and to environmental protection. This study, based on
Xuzhou City, Jiangsu Province, investigated the sales of e-bicycles
and their battery life and composition; the data collected were
used to re-estimate the output of spent batteries and propose
new methods of recycling. A complete reverse logistics network
for spent battery recycling was established based on the character-
istics of individual users in order to improve recycling efficiency.
The experience of Xuzhou, which is a typical city, can serve as a
reference for similar cities and developing countries.

2. Data collection and methodology

2.1. Data collection

Xuzhou, a traditional industrial city in China, has an urban resi-
dent population of 1.86 million and a Gross Domestic Product
(GDP) of 286.3 billion RMB as of 2015. Not only does the city have
an enormous number of e-bicycles in use, it is also China’s most
important e-bicycle manufacturing center, producing about 10%
of the country’s e-bicycles. From July to September 2015, 37
students investigated the recycling of spent e-bicycle batteries in
Xuzhou. The research group investigated 113 major e-bicycle
retailers, 378 corporate and individual buyers specializing in recy-
cling who are registered with industry and commerce authorities,
and 147 major e-bicycle repairers in Xuzhou. Additionally, 1500
random e-bicycle owners were interviewed on the streets. Furth-
more, as part of the research, dead tax collection data for e-bicycles
purchased in 2008–2014 were obtained from the vehicle registry
of the local tax bureau in Xuzhou.

2.2. Estimation of the output of spent batteries

The annual net output of spent batteries is usually estimated
using sales volume and average life span according to the market
supply model; however, this estimation method is not accurate
(Tan et al., 2014). In the current study, we assume that each
product followed a life cycle, and each life cycle was assigned a
proportion, as follows:

\[ QW = \sum_{i=0}^{n} S_i \times W_i, \]

where \( QW \) represents the output of spent batteries in the year to be
estimated, \( S_i \) represents the sales volume of the product \( i \) years ago.
\( W_i \) represents the percentage of the product discarded after \( i \) years
of use, and \( n \) represents the longest life of the product.

2.3. Data processing and statistical analysis

The data were analyzed using SPSS 19.0, and the significance
level was set at 1%. The Mantel test was used to determine the cor-
respondence between the individual characteristics of e-bicycle
owners and the methods of disposal of the spent batteries. The
canonical correspondence analysis (CCA) in the vegan package of
the R v.2.8.1 project (R Development Core Team, 2006) was used
to establish a matrix of individual characteristics of e-bicycle
owners.

3. Results and discussion

3.1. Number of e-bicycles and proportions of use of various batteries

The number of e-bicycles in Xuzhou for 2008–2014 was obtained from
the vehicle registry of the local tax bureau (http://
tj.xz.gov.cn/TJ/rtjgb/). Records for 2008 showed 45,600 vehicles
registered, with that number increasing significantly to 168,200
vehicles in 2012 (Fig. 1a). The number of registered e-bicycles
decreased in the following two years, most likely due to the approx-
imate saturation of market ownership of e-bicycles. According to
actual sales data, the market ownership of e-bicycles in Xuzhou
was estimated at about 400,000–500,000 vehicles; the urban resi-
dent population in Xuzhou was 1.86 million. The sustained rise in
the ownership of private cars in recent years also resulted in a
decline in the demand for e-bicycles. According to the survey of
113 major Xuzhou city e-bicycle retailers from 2011 to 2014, the
highest sales volume reached 4863 vehicles and the lowest sales
volume was only 450 vehicles. The total sales volumes of the major
retailers for the seven years studied accounted for 75.2 ± 7.2% of
the total number of registered electric vehicles, indicating that
the results obtained from the retailers were very typical. Accord-
ing to the research, from 2008 to 2014 the proportion of e-bicycles
using lithium batteries increased from 0.4% to 26.4%. The propor-
tion of e-bicycles using nickel-metal hydride batteries remained
at a low level, falling from 6.8% in 2011 to 1.4% in 2014 (Fig. 1b).
The proportion of e-bicycles using lead-acid batteries declined con-
tinuously from 2008 to 2014, but still remained the leading battery
type used, generally consistent with national statistical data.
Interestingly, the proportion of e-bicycles in Xuzhou using lead-
acid batteries was about 10% lower than the national level.

3.2. Estimation of the output of spent batteries and their actual recycling

The investigation of 1317 users found that 1076 had replaced
their batteries or bought another e-bicycle, accounting for 81.7%
of the total respondents. Only 18.3% were first-time e-bicycle
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