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### Accident Analysis and Prevention

journal homepage: www.elsevier.com/locate/aap



## Methodological evolution and frontiers of identifying, modeling and preventing secondary crashes on highways



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#### ARTICLE INFO

#### Keywords: Secondary crash Road safety Crash prevention Traffic incident Connected vehicles Highway

#### ABSTRACT

Secondary crashes (SCs) or crashes that occur within the boundaries of the impact area of prior, primary crashes are one of the incident types that frequently affect highway traffic operations and safety. Existing studies have made great efforts to explore the underlying mechanisms of SCs and relevant methodologies have been evolving over the last two decades concerning the identification, modeling, and prevention of these crashes. So far there is a lack of a detailed examination on the progress, lessons, and potential opportunities regarding existing achievements in SC-related studies. This paper provides a comprehensive investigation of the state-of-the-art approaches; examines their strengths and weaknesses; and provides guidance in exploiting new directions in SCrelated research. It aims to support researchers and practitioners in understanding well-established approaches so as to further explore the frontiers. Published studies focused on SCs since 1997 have been identified, reviewed, and summarized. Key issues concentrated on the following aspects are discussed: (i) static/dynamic approaches to identify SCs; (ii) parametric/non-parametric models to analyze SC risk, and (iii) deployable countermeasures to prevent SCs. Based on the examined issues, needs, and challenges, this paper further provides insights into potential opportunities such as: (a) fusing data from multiple sources for SC identification, (b) using advanced learning algorithms for real-time SC analysis, and (c) deploying connected vehicles for SC prevention in future research. This paper contributes to the research community by providing a one-stop reference for research on secondary crashes.

#### 1. Introduction

Traffic crashes are the most frequent incidents on highways and the ones with the most severe consequences. Statistically, about 6.3 million highway crashes are reported annually only in the United States, among which more than 32,000 are fatal crashes (NHTSA, 2016). These incidents often pose challenging problems in traffic operations and safety. Both transportation agencies and the general public are concerned about their notable direct and indirect impacts. It has been estimated that these highway crashes resulted in almost \$1 trillion in economic loss and societal harm in 2010 (Blincoe et al., 2015). The hazardous traffic conditions that are formed due to traffic crashes are often exposing non-involved vehicles and incident responders to a risk of additional crashes: the so-called secondary crashes (SCs). SCs are typically defined as crashes that occur within the spatial and temporal

boundaries of the impact area that is formed due to earlier primary crashes (PCs) (Owens et al., 2010). This should be distinguished from the "secondary collisions" defined in Xie et al. (2018) that are described as different phases of a single crash event. It has been reported that SCs can account for as high as 20% of all crashes and 18% of all fatalities on the United States' freeways (Owens, 2010). Considering the significant economic and social costs as well as the potential preventability, SC mitigation has become a priority for transportation agencies around the world

In fact, many transportation agencies are using SCs as an important indicator to monitor the safety performance of their systems. The frequency of SCs is used as a key factor in assessing a number of safety programs of the Federal Highway Administration (FHWA) and many state/local agencies consider the determination and reduction of SCs in allocating funding for the development of their traffic incident

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 Table 1

 Summary of Studies on Identification of SCs.

Authors	Major data needs	Data facts (Total/PC/SC; period; location)	Method	Identification criteria
Karlaftis et al. (1999)	Incident	741/ 257/257; 1992–1995; Borman Expressway, INDOT	Static	1.5 km;15 min + clearance
Hirunyanitiwattana and Mattingly (2006)	Incident	111/15,442/15,442; 1999–2000; California	Static	2miles; 1h
Latoski et al. (1999) Moore et al. (2004)	Incident Incident + loop detector	n.giway 8,986/689/ 689; 1996; Borman Expressway, INDOT 84,684/192/197;1999; Los Angeles Freeway,	Static Static	3 miles; 15min + clearance 2 miles; 2 h
Sun and Chilukuri (2007,2010) Zhan et al. (2008)	Incident Crash data	California 5,514/ 397/ 397; 2003; 1-70 and 1-270 in Missouri 7,903/ 352/413; 2005–2007; Florida 195, 175, and rece	Dynamic Static	Incident progression curves 2 miles; 15min + clearance
Zhan et al. (2009)	Crash data + SMART data	1393 7,903/ 221/ 255; 2005–2007; Florida 195, 175, and 1595	Dynamic	Cumulative arrival; departure traffic delay
Khattak et al. (2009)	Incident	38,086/736/764; 2006; Hampton road, Virginia	Static	1 mile, duration of PC (+15 min if lane blocked)
Vlahogianni et al. (2010)	Incident + monitor + sensor data	1746/ 279/279; 2007–2008; Attica Tollway, Greece	Dynamic	Maximum queue length and queue duration
Chang and Rochon (2009)	Incident	19,309/702/702; 2010; CHART	Static	2h + 2 miles; $0.5h + 0.5$ mile for opposite direction
Kopitch and Saphores (2011)	Incident	9,549/528/528; 2008; Orange county, CA	Static	2 miles upstream and 2 h
Green et al. (2012) Khattak et al. (2012)	Crash data Incident	9,330/362/362; 2009–2010; kentucky's nignway 37,934/736/764; 2006; Hampton road, Virginia	Static Dynamic	80 min; 6000 it upstream and 1000 it downstream Segment code; 1 mile, PC duration (+15 min if lane blocked)
Vlahogianni et al. (2012)	Incident + monitor + sensor data	1,465/51/51; 2007-2010; Attica Tollway, Greece	Dynamic	Dynamic threshold by upstream loop detector using ASDA
Chung (2013)	Crash + sensor data	6,200/182/212; 2001–2002; Orange county,	Dynamic	Dynamic crash impact area using speed contour map
Yang et al. (2013b) and Yang et al. (2014aYang et al.,	Crash + sensor data/virtual sensor data	1,118/ 71/ 100; 2011; 27-mile highway, New	Dynamic	Representative speed contour map
2014b Zheng et al. (2014)	Crash + hourly volume data + detailed network	Jersey 2011 7,034/67/79; 2010; 1,500-mile freeways in Misconein	Dynamic	Shockwave model
Imprialou et al. (2014)	Incident + monitor + sensor data	1,252,1726/ 17–68; 2007–2009; Attica Tollway, Greece	Dynamic	ASDA, Real influence area method
Jalayer et al. (2015) Mishra et al. (2016) and Sarker et al. (2017)	Crash data Crash data + lane specific traffic sensor data	NA/ NA/ NA; 2010-2013; CARE in Alabama 91,325/528/570; 2010-2012; Shelby county,	Static Dynamic	2 miles; 2 h Dynamic simple shockwave
Wang et al. (2016)	Detailed crash data + loop data	Tennessee 49,753,204/209; 2010–2012; interstate freeway, California	Dynamic	Spatio-temporal shockwave with 1 speed turning point
Tian et al. (2016) Park and Haghani (2016a,2016b) <b>and</b> Park et al. (2017)	Incident + crash data Incident + INRIX data	NA/ NA/326; 2010; Interstate highways, Florida 1,150/125/125; 2012–2013; INRIX data along I-	Static Dynamic	2miles; 2 h or 15/30 min + clearance Binary speed contour plot map
Xu et al. (2016) Yang et al. (2017b) <b>and</b> Yang et al., 2018 Goodall (2017)	Crash + PEMS data Crash + probe vehicle data Incident + RITIS data	055. Contact 8978/97/113; 2006–2010; I880 freeway, California Simulated incidents and probe vehicle data 2,466/ 340/ 340; 2014; RITIS on 1-66	Dynamic Dynamic Dynamic	Speed contour plot map Clustered trajectories and optimized boundary of impact area Speed contour plot with incident timeline

Note: CARE: crash analysis reporting environment; CHART: coordinated highways action response team; RITIS: Regional Integrated Transportation Information System; INDOT: Indiana Department of Transportation.

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